



BNP PARIBAS

DISCLOSURES REQUIRED IN TERMS OF THE FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT 37 OF 2002

BNP Paribas is a bank incorporated in France with a local branch registered with the South African Reserve Bank and registered as an external company in terms of the South African Companies Act, 2008. BNP Paribas is an authorised Financial Services Provider (FSP 44610) and subject to regulation by the Financial Sector Conduct Authority and South African Reserve Bank.

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Financial Services Provider information – FSP 44610

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Conflict of Interest

BNP Paribas SA as a licensed FSP is bound by the provisions of the General Code of Conduct for Authorised Financial Services Providers and their Representatives, 2003 (as amended) (“the Code”).

This Code stipulates that all FSPs are to adopt, implement and maintain a conflicts of interest management policy that complies with the requirements of the Code (“a Conflicts Policy”).

In compliance with this BNP Paribas SA has implemented a Conflict of Interest Management procedure MI0005EN. This procedure details the endeavor to avoid conflicts of interests in its dealings with (or relevant to) its clients or, under circumstances where avoidance is not possible, the manner in which BNP Paribas SA shall identify, manage and mitigate such conflicts of interest. This procedure is supported by ancillary policies as well as ongoing training. Should you wish to view this policy a copy will be made available to you upon request.



Complaints

Customer Service Desk:

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